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Attn: Examiner Not Yet Assigned

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FROM:

George H. Gates

OUR REF.:

G&C 30794.94-US-WO (310) 642-4146

TELEPHONE: (310) 6

Total pages, including cover letter: 22

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Title of Document	TRANSMITTAL DOCUMENTS; SUPPLEMENTAL IDS; PTO-	
Transmitted: 1449 AND REFERENCES		
Applicant:	Benjamin A. Haskell et al.	
Serial No.:	10/537,385	
Filed:	June 3, 2005	
Group Art Unit:	2811	
Title:	GROWTH OF PLANAR, NON-POLAR A-PLANE GALLIUM NITRIDE BY HYDRIDE VAPOR PHASE EPITAXY	
Our Ref. No.:	ur Ref. No.: G&C 30794.94-US-WO	

Please charge all fees to Deposit Account No. 50-0494 of Gates & Cooper LLP.

Name: George H. Gates

Rcg. No.: 33,500

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Examiner:

Not Yet Assigned

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Docket:

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GROWTH OF PLANAR, NON-POLAR A-PLANE GALLIUM NITRIDE BY HYDRIDE

VAPOR PHASE EPITAXY

CERTIFICATE OF MAILING OR TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that this correspondence is being filed via facsimile transmission to the U.S. Patent and Trademark Office on October 11, 2006.

Name Genroe H Game

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Supplemental Information Disclosure Statement and Form PTO-1449.

Cited Reference(s).

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GATES & COOPER LLP

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, CA 90045 (310) 641-8797 Name: George H. Gates

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Trademark Office on October 11, 2006.

Name: George H. Gates

<u>SUPPLEMENTAL INFORMATION DISCLOSURE</u> <u>STATEMENT (37 C.F.R. §1.97(c))</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. The references were recently cited in International Search Report.

This statement should be considered because it is submitted less than three months after the mailing date of a Communication from a foreign patent office in which the aforementioned items of information were cited, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a Notice of Allowance under 37 C.F.R. § 1.311. No fee is due as this statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

OCT 112006

Certification Under 37 C.F.R. §1.97(e)

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that this statement is submitted wherein each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement (certification under 37 C.F.R. §1.97(e)(1)).

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each foreign patent document and each non-patent document listed on the enclosed Form 1449 is provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art". Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please direct any response or inquiry to the below-signed attorney at (310) 641-8797.

Respectfully submitted,

GATES & COOPER LLP Attorneys for Applicant(s)

Howard Hughes Center 6701 Center Drive West, Suite 1050 Los Angeles, California 90045

(310) 641-8797

Date: October 11, 2006

GHG/sjm

George H. Gates

Reg. No.: 33,500

G&C 30794.94-US-WO

Date Mailed: October 11, 2006

Sheet 1 of 1

	Form 1449*	Docket Number: G&C 30794.94-US-W	O Application Number: 10/537,385
i	INFORMATION DISCLOSURE STATEMENT	Applicant Benjamin A. Haskell et al.	
	IN AN APPLICATION	Filing Date: June 3, 2005	Group Art Unic 2811

EXAMINER	DOCUMENT NO.		ATENT DOCUMENTS			1	
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE II	
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			ative Recombination From the Regrowth Technique,				
		15, pp. 3122-3124, abs		, rappuos rily	nos betters, O		.,
			f a Semipolar (1013) InGa	N/GaN Green	Light Emittir	g Diode."	Applied
	Physics I	etters, November 200	05, Vol. 87, 231110, pp. 1-	3, abstract.	9		• •

EXAMINER:	DATE CONSIDERED:					
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; that line through citation if not in						
conformance and not considered. Include copy of this form for next communication to the Applicant.						

*Substitute Disclosure Statement Form (PTO-1449)

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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